

IN THE UNITED STATES DISTRICT COURT
FOR THE EASTERN DISTRICT OF CALIFORNIA

BILLY VAUGHAN,

Petitioner,

No. CIV S-04-2549 JAM DAD P

vs.

TERESA SCHWARTZ,

Respondent.

FINDINGS & RECOMMENDATIONS

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Petitioner is a state prisoner proceeding pro se with an application for a writ of habeas corpus pursuant to 28 U.S.C. § 2254. Petitioner challenges a judgment of conviction entered against him on August 24, 2001 in the Sacramento County Superior Court following his trial and conviction on charges of possession of more than 28.5 grams of marijuana, transportation of marijuana and driving without a valid license. He seeks relief on the grounds that: (1) jury instruction error violated his right to due process; (2) his trial and appellate counsel rendered ineffective assistance; and (3) his right to due process was violated at his sentencing hearing. Upon careful consideration of the record and the applicable law, the undersigned will recommend that petitioner's application for habeas corpus relief be denied.

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1 for sale. Based on two or three marijuana cigarettes per gram, the
2 281-gram brick would produce between 561 and 837 marijuana
3 cigarettes; a chronic user uses five to six a day. A subsequent
search of the residence defendant and Henry shared with others
revealed no other evidence.

4 Defendant testified. He and Henry are step-brothers. Defendant
5 claimed he used marijuana occasionally but that Henry used
6 marijuana all the time; he had never seen Henry sell it. At the time
7 of the stop, defendant was driving Henry's car but did not have a
valid driver's license. They had started to help a friend move and
8 then ran errands. Afterward, Henry asked defendant to drive his
9 car. Defendant claimed he had difficulty seeing out of his right eye
at the time but had surgery after his arrest to remove a cataract.
10 While driving Henry's car, defendant knew that Henry was rolling
a marijuana cigarette because Henry said he was and defendant
11 could hear it but did not see it. Defendant later said he saw Henry
smoking a marijuana cigarette. Defendant denied seeing the
12 marijuana brick on the floorboard or any other marijuana. The
automatic shift on Henry's car was on the console between the
seats. Defendant denied speeding. He admitted having previously
been convicted of robbery in 1979 and possession of counterfeit
money in 1998.

13 Henry also testified. He claimed that the marijuana brick, the
14 baggie with marijuana found between the seat and console and the
baggie in his pocket all belonged to him, not defendant. Henry
15 explained that the marijuana was intended for his personal use, not
to sell, and that it was cheaper to purchase in a large quantity.
16 After the death of his father, Henry increased his marijuana use
from four to five marijuana cigarettes a day to as many as 15 a day.

17 Dr. Joseph Martel, an ophthalmologist, examined defendant on
18 May 23, 2001, and performed surgery on him on May 31, 2001, to
remove a mature (at least a year old) cataract on his right eye which
19 made defendant's vision "considerably worse" than the standard of
legal blindness.

20 ANALYSIS

21 I. Standards of Review Applicable to Habeas Corpus Claims

22 A writ of habeas corpus is available under 28 U.S.C. § 2254 only on the basis of
23 some transgression of federal law binding on the state courts. See Peltier v. Wright, 15 F.3d 860,
24 861 (9th Cir. 1993); Middleton v. Cupp, 768 F.2d 1083, 1085 (9th Cir. 1985) (citing Engle v.
25 Isaac, 456 U.S. 107, 119 (1982)). A federal writ is not available for alleged error in the
26 interpretation or application of state law. See Estelle v. McGuire, 502 U.S. 62, 67-68 (1991);

1 Park v. California, 202 F.3d 1146, 1149 (9th Cir. 2000); Middleton, 768 F.2d at 1085. Habeas
2 corpus cannot be utilized to try state issues de novo. Milton v. Wainwright, 407 U.S. 371, 377
3 (1972).

4 This action is governed by the Antiterrorism and Effective Death Penalty Act of
5 1996 ("AEDPA"). See Lindh v. Murphy, 521 U.S. 320, 336 (1997); Clark v. Murphy, 331 F.3d
6 1062, 1067 (9th Cir. 2003). Section 2254(d) sets forth the following standards for granting
7 habeas corpus relief:

8 An application for a writ of habeas corpus on behalf of a
9 person in custody pursuant to the judgment of a State court shall
10 not be granted with respect to any claim that was adjudicated on
the merits in State court proceedings unless the adjudication of the
claim -

11 (1) resulted in a decision that was contrary to, or involved
12 an unreasonable application of, clearly established Federal law, as
determined by the Supreme Court of the United States; or

13 (2) resulted in a decision that was based on an unreasonable
14 determination of the facts in light of the evidence presented in the
State court proceeding.

15 See also Penry v. Johnson, 532 U.S. 782, 792-93 (2001); Williams v. Taylor, 529 U.S. 362
16 (2000); Lockhart v. Terhune, 250 F.3d 1223, 1229 (9th Cir. 2001).

17 The court looks to the last reasoned state court decision as the basis for the state
18 court judgment. Robinson v. Ignacio, 360 F.3d 1044, 1055 (9th Cir. 2004). Where the state
19 court reaches a decision on the merits but provides no reasoning to support its conclusion, a
20 federal habeas court independently reviews the record to determine whether habeas corpus relief
21 is available under section 2254(d). Himes v. Thompson, 336 F.3d 848, 853 (9th Cir. 2003);
22 Delgado v. Lewis, 223 F.3d 976, 982 (9th Cir. 2000). When it is clear that a state court has not
23 reached the merits of a petitioner's claim, or has denied the claim on procedural grounds, the
24 AEDPA's deferential standard does not apply and a federal habeas court must review the claim
25 de novo. Nulph v. Cook, 333 F.3d 1052, 1056 (9th Cir. 2003); Pirtle v. Morgan, 313 F.3d 1160,
26 1167 (9th Cir. 2002).

1 II. Petitioner's Claims

2 A. Jury Instruction Error

3 Petitioner claims that the trial court violated his right to due process when it
4 instructed the jury with CALJIC Nos. 2.62 and 17.41.1. (Petition filed March 14, 2006
5 (hereinafter Pet.) at 5, 9-11.) After setting forth the applicable legal principles, the court will
6 address both of these jury instruction error claims in turn below.

7 1. Legal Standards

8 A challenge to jury instructions does not generally state a federal constitutional
9 claim. See Middleton v. Cupp, 768 F.2d at 1085 (citing Engle v. Isaac, 456 U.S. at 119);
10 Gutierrez v. Griggs, 695 F.2d 1195, 1197 (9th Cir. 1983). Habeas corpus is unavailable for
11 alleged error in the interpretation or application of state law. Middleton, 768 F.2d at 1085; see
12 also Lincoln v. Sunn, 807 F.2d 805, 814 (9th Cir. 1987); Givens v. Housewright, 786 F.2d 1378,
13 1381 (9th Cir. 1986). However, a "claim of error based upon a right not specifically guaranteed
14 by the Constitution may nonetheless form a ground for federal habeas corpus relief where its
15 impact so infects the entire trial that the resulting conviction violates the defendant's right to due
16 process." Hines v. Enomoto, 658 F.2d 667, 672 (9th Cir. 1981) (citing Quigg v. Crist, 616 F.2d
17 1107 (9th Cir. 1980)). See also Prantil v. California, 843 F.2d 314, 317 (9th Cir. 1988) (To
18 prevail on such a claim petitioner must demonstrate that an erroneous instruction "so infected the
19 entire trial that the resulting conviction violates due process.") The analysis for determining
20 whether a trial is "so infected with unfairness" as to rise to the level of a due process violation is
21 similar to the analysis used in determining, under Brecht, 507 U.S. at 623, whether an error had
22 "a substantial and injurious effect" on the outcome. See Polk v. Sandoval, 503 F.3d 903, 911
23 (9th Cir. 2007) (standard applied to habeas petition presenting a jury instruction challenge);
24 McKinney v. Rees, 993 F.2d 1378, 1385 (9th Cir. 1993).

25 In order to warrant federal habeas relief, a challenged jury instruction "cannot be
26 merely 'undesirable, erroneous, or even "universally condemned,"' but must violate some due

process right guaranteed by the fourteenth amendment.” Prantil, 843 F.2d at 317 (quoting Cupp v. Naughten, 414 U.S. 141, 146 (1973)). In making its determination in this regard, the court must evaluate the challenged jury instructions “‘in the context of the overall charge to the jury as a component of the entire trial process.’” Prantil, 843 F.2d at 817 (quoting Bashor v. Risley, 730 F.2d 1228, 1239 (9th Cir. 1984)). The United States Supreme Court has cautioned that “not every ambiguity, inconsistency, or deficiency in a jury instruction rises to the level of a due process violation.” Middleton v. McNeil, 541 U.S. 433, 437 (2004). Further, in reviewing an allegedly ambiguous instruction, the court “must inquire ‘whether there is a reasonable likelihood that the jury has applied the challenged instruction in a way’ that violates the Constitution.” Estelle, 502 U.S. at 72 (quoting Boyde v. California, 494 U.S. 370, 380 (1990)). See also United States v. Smith, 520 F.3d 1097, 1102 (9th Cir. 2008).

2. CALJIC No. 2.62

Petitioner’s first jury instruction claim is that the trial court violated his right to due process, in violation of Carella v. California, 491 U.S. 263 (1989) and Sandstrom v. Montana, 442 U.S. 510 (1979), when it instructed the jury with CALJIC No. 2.62. (Pet. at 9-11.) Petitioner argues that this instruction constituted an improper mandatory presumption because it “removed the element of specific intent” and essentially directed a guilty verdict. (Traverse at 2.) Petitioner further argues that the language of CALJIC No. 2.62 conflicted with the language of CALJIC No. 2.01 which was also read, thereby confusing the jury.³ (Id. at 4-5.) Finally, petitioner claims that the giving of CALJIC No. 2.62 was a structural error that is not subject to harmless error review because the challenged instruction “directed a verdict for the prosecution in a criminal trial.” (Id. at 5.)

³ CALJIC No. 2.01 provides, in pertinent part, “if the circumstantial evidence as to any particular count permits two reasonable interpretations, one of which points to the defendant’s guilt and the other to his innocence, you must adopt that interpretation that points to the defendant’s innocence, and reject that interpretation that points to his guilt.” (Clerk’s Transcript on Appeal (CT) at 106-07.)

1 The California Court of Appeal determined that the trial court did not err in
2 instructing the jury with CALJIC No. 2.62. In the alternative, the court concluded that any error
3 was harmless. The appellate court reasoned as follows:

4 Defendant contends the trial court erroneously instructed the jury
5 in the language of CALJIC No. 2.62 which informs the jury that it
6 may draw adverse inferences from a defendant's failure to explain
or deny evidence against him. The trial court instructed the jury as
follows:

7 “In this case Defendants' [sic] Billy Vaughan and Henry Vaughan
8 have testified to certain matters.

9 “If you find that a defendant failed to explain or deny any evidence
10 against him introduced by the prosecution which he can reasonably
11 be expected to deny or explain because of facts within his
12 knowledge, you may take that failure into consideration as tending
to indicate the truth of this evidence and as indicating that among
the inferences that may reasonably be drawn therefrom those
unfavorable to the defendant are the more probable.

13 “The failure of the defendant to deny or explain evidence against
14 him does not, by itself, warrant an inference of guilt, nor does it
15 relieve the prosecution of its burden of proving every essential
16 element of the crime and the guilt of the defendant beyond a
reasonable doubt.

17 “If a defendant does not have the knowledge that he would need to
18 deny or explain evidence against him, it would be unreasonable to
19 draw any inference unfavorable to him because of his failure to
deny or explain this evidence.”

20 Defendant argues that the instruction was not supported by the
21 evidence in that he did not fail to explain or deny any evidence
22 against him.

23 The Attorney General claims the failure to object to the instruction
24 below waives the issue on appeal. Further, the Attorney General
25 claims that both defendant and codefendant were referenced in the
26 instruction and to the extent it referred to defendant, the evidence
that defendant did not see or know of the marijuana's presence
because he could not see out of his right eye, yet was able to drive,
was “so unbelievable as to amount to a failure to explain adverse
evidence.” Even assuming error, the Attorney General claims it
was harmless since the instruction contains other portions
favorable to the defense.

We conclude there was no error and even if error, it was harmless.

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1 The trial court is required to instruct on the general principles of
 2 law relevant to the issues raised by the evidence adduced at trial
 3 and a correlative duty not to instruct on principles irrelevant to the
 4 issues and which confuse the jury or relieve the jury from making
 5 certain findings. (*People v. Saddler* (1979) 24 Cal.3d 671, 681,
 6 156 Cal.Rptr. 871, 597 P.2d 130.) A failure to object to an
 7 instruction does not waive the issue where the “substantial rights”
 8 of the defendant are affected, that is, where a miscarriage of justice
 9 has occurred or, stated another way, where there is a reasonable
 10 probability of a different result absent the error. (Cal. Const., art.
 11 VI, § 13; Pen.Code, § 1259; *People v. Watson* (1956) 46 Cal.2d
 12 818, 836, 299 P.2d 243; *People v. Hempstead* (1983) 148
 13 Cal.App.3d 949, 956, 196 Cal.Rptr. 412; *People v. Arredondo*
 14 (1975) 52 Cal.App.3d 973, 978, 125 Cal.Rptr. 419.)

15 Whether the trial court should give CALJIC No. 2.62 depends on
 16 the specific facts of the case. (*People v. Mask* (1986) 188
 17 Cal.App.3d 450, 455, 233 Cal.Rptr. 181; *People v. Roehler* (1985)
 18 167 Cal.App.3d 353, 393, 213 Cal.Rptr. 353.) “[A] contradiction
 19 is not a failure to explain or deny.” (*Saddler, supra*, 24 Cal.3d at p.
 20 682, 156 Cal.Rptr. 871, 597 P.2d 130.) Where a defendant offers a
 21 “bizarre or implausible [explanation], the inquiry whether he
 22 reasonably should have known about circumstances claimed to be
 23 outside his knowledge is a credibility question for resolution by the
 24 jury.” (*Mask, supra*, 188 Cal.App.3d at p. 455, 233 Cal.Rptr. 181;
 25 *Roehler, supra*, 167 Cal.App.3d at pp. 393-394, 213 Cal.Rptr. 353.)

26 On cross-examination, defendant offered a bizarre or implausible
 explanation as to why he never saw the marijuana brick located
 between Henry's feet. After getting in Henry's car to drive,
 defendant never looked down at the gear shift to put the car in
 drive, although he had never before driven the car. Defendant
 admitted while driving, he looked at Henry smoking a joint, in the
 rear view mirror, and both ways at traffic. Defendant claimed he
 was unable to describe the marijuana cigarette. Given defendant's
 bizarre story, the jury could conclude that defendant failed to
 explain circumstances about which he knew or should have known.
 CALJIC No. 2.62 was properly given.

Even assuming it was error to instruct the jury with CALJIC No. 2
 .62, it was harmless (*Watson, supra*, 46 Cal.2d at p. 836, 299 P.2d
 243). As the court stated in *People v. Ballard* (1991) 1
 Cal.App.4th 752, 2 Cal.Rptr.2d 316, “CALJIC No. 2.62 does not
 direct the jury to draw an adverse inference. It applies only if the
 jury finds that the defendant failed to explain or deny evidence. It
 contains other portions favorable to the defense (suggesting when
 it would be unreasonable to draw the inference; and cautioning that
 the failure to deny or explain evidence does not create a
 presumption of guilt, or by itself warrant an inference of guilt, nor
 relieve the prosecution of the burden of proving every essential

1 element of the crime beyond a reasonable doubt).” (*Id.* at p. 756, 2
 2 Cal.Rptr.2d 316.)

3 (Opinion at 4-7.)

4 After a review of the record, this court concludes that the giving of CALJIC No.
 5 2.62 did not violate petitioner’s right to due process. As explained by the state appellate court,
 6 the instruction was not improper given petitioner’s failure to explain how he could not see the
 7 large brick and other marijuana in the car but yet was able to see well enough to drive. This
 8 court rejects petitioner’s argument that CALJIC No. 2.62 created an improper mandatory
 9 presumption.⁴ The jury was not instructed that it must infer any specific fact if other predicate
 10 facts were proved as would be the case with a mandatory presumption. On the contrary, CALJIC
 11 No. 2.62 specifically informed the jury that petitioner’s failure to deny or explain evidence
 12 against him did not, by itself, warrant an inference of guilt or relieve the prosecution of its burden
 13 of proving every essential element of the crime beyond a reasonable doubt. The instruction also
 14 informed the jury that it would be unreasonable to draw any inference unfavorable to petitioner if
 15 he did not have the knowledge needed to deny or explain the evidence against him. This
 16 language precludes a finding that CALJIC No. 2.62 created a presumption of any kind.

17 The court also rejects petitioner’s arguments that the giving of both CALJIC Nos.
 18 2.62 and 2.01 confused the jury. Reading the two instructions together, the jurors were instructed
 19 to consider a failure to explain or deny evidence as bearing on the truth of petitioner’s testimony.
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21 ⁴ Due process is violated by jury instructions which use mandatory presumptions to
 22 relieve the prosecution’s burden of proof on any element of the crime charged. *Francis v.*
 23 *Franklin*, 471 U.S. 307, 314 (1985); *see also Sandstrom*, 442 U.S. at 515-24. In general, “[a]
 24 mandatory presumption instructs the jury that it must infer the presumed fact if . . . certain
 25 predicate facts” are proved. *Francis*, 471 U.S. at 314. The due process clause is implicated
 26 where the presumed fact is an element of the prosecution’s case, because in that situation proof
 of predicate facts will either remove the presumed element from the State’s case altogether or
 will shift the burden of persuasion on the presumed element to the defendant. *Id.* at 317. In
 either case, such a presumption operates to relieve the State from its constitutional obligation to
 prove beyond a reasonable doubt every essential element of the crime charged. *Id.* at 313; *In re*
Winship, 397 U.S. 358, 364 (1970).

1 They were told, however, that if after consideration the circumstantial evidence pointed equally
2 to guilt and innocence, they were required to adopt the interpretation that pointed to innocence.
3 In other words, the jury was instructed that a failure to explain evidence was only one factor to
4 consider when weighing the import of circumstantial evidence. Contrary to petitioner's
5 argument, the language of the two challenged instructions when considered in the context of this
6 case was not confusing or inconsistent.

7 This court also agrees with the state appellate court that any error in the giving of
8 CALJIC No. 2.62 was harmless. The language of the instruction, read in its entirety, properly
9 limited the scope of any inference the jury was allowed to draw from a failure to explain or deny
10 evidence. Under the circumstances of this case, petitioner cannot demonstrate that the giving of
11 CALJIC No. 2.62 had a substantial and injurious effect on the verdict. See Inthavong v.
12 LaMarque, 420 F.3d 1055, 1059 (9th Cir. 2005) (in order to grant habeas relief where a state
13 court has determined that a constitutional error was harmless, a reviewing court must determine,
14 among other things, that constitutional error had a substantial and injurious effect or influence in
15 determining the jury's verdict pursuant to Brecht v. Abrahamson, 507 U.S. 619, 623 (1993)).

16 The decision of the California Court of Appeal rejecting petitioner's jury
17 instruction error claim is not based on an unreasonable determination of the facts of this case, nor
18 is it based on an unreasonable application of federal law. Accordingly, petitioner is not entitled
19 to relief on this claim.

20 3. CALJIC No. 17.41.1

21 Petitioner claims that the trial court violated his right to due process when it
22 instructed the jury with CALJIC No. 17.41.1. (Pet. at 5.) The California Court of Appeal also
23 rejected this claim, reasoning as follows:

24 Defendant contends the trial court erroneously instructed the jury
25 in the language of CALJIC No. 17.41.1 as follows:

26 "The integrity of the trial requires that jurors, at all times during
their deliberations, conduct themselves as required by these

1 instructions. Accordingly, should it occur that any juror refuses to
2 deliberate or expresses an intention to disregard the law or to
3 decide the case based on penalty or punishment, or any other
improper basis, it is the obligation of the other jurors to
immediately advise the Court of the situation.”

4 People v. Engelman (2002) 28 Cal.4th 436, 121 Cal.Rptr.2d 862,
5 49 P.3d 209 concluded that giving the foregoing instruction did not
6 constitute error or infringe upon defendant's constitutional rights.
Accordingly, we reject defendant's claim.

7 (Opinion at 7-8.)⁵

8 Petitioner’s claim in this regard is foreclosed by the decision of the Ninth Circuit
9 in Brewer v. Hall, 378 F.3d 952, 955-57 (9th Cir. 2004), in which the court rejected this same
10 claim. See Osumi v. Giurbino, 445 F. Supp.2d 1152, 1165 (C.D. Cal. 2006) (concluding that the
11 holding in Brewer controls and forecloses a federal habeas claim challenging CALJIC 17.41.1).
12 Accordingly, the state court's rejection of petitioner's claim was not contrary to or an
13 unreasonable application of clearly established federal law. 28 U.S.C. § 2254(d).

14 Even if CALJIC No. 17.41.1 was erroneously given, any error in this case was
15 harmless. See Brecht, 507 U.S. at 623 (federal habeas relief may not be granted for trial errors
16 without a showing of actual prejudice). The jurors at petitioner’s trial did not ask any questions
17 during their deliberations or communicate with the court in any way before returning their guilty
18 verdict against petitioner. There is simply no indication that the giving of CALJIC No. 17.41.1
19 prevented free and full deliberations. Accordingly, petitioner is not entitled to relief on this claim
20 of jury instruction error.

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24 ⁵ The California Supreme Court held that CALJIC 17.41.1 did not infringe upon a
25 defendant's federal or state constitutional right to trial by jury or his state constitutional right to a
26 unanimous jury verdict. People v. Engelman, 28 Cal. 4th 436, 439-40 (2002). However,
exercising its supervisory authority over the lower state courts, the California Supreme Court
directed that CALJIC No. 17.41.1 no longer be given in future trials because of its "potential" to
intrude on jury deliberations. Engelman, 28 Cal. 4th at 449.

1 B. Ineffective Assistance of Counsel

2 Petitioner claims that his trial and appellate counsel rendered ineffective
3 assistance. After setting forth the applicable legal principles, the court will address these claims
4 in turn below.

5 1. Legal Standards

6 The Sixth Amendment guarantees the effective assistance of counsel. The United
7 States Supreme Court set forth the test for demonstrating ineffective assistance of counsel in
8 Strickland v. Washington, 466 U.S. 668 (1984). To support a claim of ineffective assistance of
9 counsel, a petitioner must first show that, considering all the circumstances, counsel's
10 performance fell below an objective standard of reasonableness. 466 U.S. at 687-88. After a
11 petitioner identifies the acts or omissions that are alleged not to have been the result of
12 reasonable professional judgment, the court must determine whether, in light of all the
13 circumstances, the identified acts or omissions were outside the wide range of professionally
14 competent assistance. Id. at 690; Wiggins v. Smith, 539 U.S. 510, 521 (2003). Second, a
15 petitioner must establish that he was prejudiced by counsel's deficient performance. Strickland,
16 466 U.S. at 693-94. Prejudice is found where "there is a reasonable probability that, but for
17 counsel's unprofessional errors, the result of the proceeding would have been different." Id. at
18 694. A reasonable probability is "a probability sufficient to undermine confidence in the
19 outcome." Id. See also Williams, 529 U.S. at 391-92; Laboa v. Calderon, 224 F.3d 972, 981
20 (9th Cir. 2000). A reviewing court "need not determine whether counsel's performance was
21 deficient before examining the prejudice suffered by the defendant as a result of the alleged
22 deficiencies If it is easier to dispose of an ineffectiveness claim on the ground of lack of
23 sufficient prejudice . . . that course should be followed." Pizzuto v. Arave, 280 F.3d 949, 955
24 (9th Cir. 2002) (quoting Strickland, 466 U.S. at 697).

25 Defense counsel has a "duty to make reasonable investigations or to make a
26 reasonable decision that makes particular investigations unnecessary." Strickland, 466 U.S. at

691. “This includes a duty to . . . investigate and introduce into evidence records that demonstrate factual innocence, or that raise sufficient doubt on that question to undermine confidence in the verdict.” Bragg v. Galaza, 242 F.3d 1082, 1088 (9th Cir. 2001) (citing Hart v. Gomez, 174 F.3d 1067, 1070 (9th Cir. 1999)). In this regard, it has been recognized that “the adversarial process will not function normally unless the defense team has done a proper investigation.” Siripongs v. Calderon (Siripongs II), 133 F.3d 732, 734 (9th Cir. 1998) (citing Kimmelman v. Morrison, 477 U.S. 365, 384 (1986)). Therefore, counsel must, “at a minimum, conduct a reasonable investigation enabling him to make informed decisions about how best to represent his client.” Hendricks v. Calderon, 70 F.3d 1032, 1035 (9th Cir. 1995) (quoting Sanders v. Ratelle, 21 F.3d 1446, 1456 (9th Cir. 1994) (internal citation and quotations omitted)). On the other hand, where an attorney has consciously decided not to conduct further investigation because of reasonable tactical evaluations, his or her performance is not constitutionally deficient. See Siripongs II, 133 F.3d at 734; Babbitt v. Calderon, 151 F.3d 1170, 1173 (9th Cir. 1998); Hensley v. Crist, 67 F.3d 181, 185 (9th Cir. 1995). “A decision not to investigate thus ‘must be directly assessed for reasonableness in all the circumstances.’” Wiggins, 539 U.S. at 533 (quoting Strickland, 466 U.S. at 691). See also Kimmelman, 477 U.S. at 385 (counsel “neither investigated, nor made a reasonable decision not to investigate”); Babbitt, 151 F.3d at 1173-74. A reviewing court must “examine the reasonableness of counsel’s conduct ‘as of the time of counsel’s conduct.’” United States v. Chambers, 918 F.2d 1455, 1461 (9th Cir. 1990) (quoting Strickland, 466 U.S. at 690). Furthermore, “‘ineffective assistance claims based on a duty to investigate must be considered in light of the strength of the government’s case.’” Bragg, 242 F.3d at 1088 (quoting Eggleston v. United States, 798 F.2d 374, 376 (9th Cir. 1986)).

In assessing an ineffective assistance of counsel claim “[t]here is a strong presumption that counsel’s performance falls within the ‘wide range of professional assistance.’” Kimmelman, 477 U.S. at 381 (quoting Strickland, 466 U.S. at 689). There is in addition a strong

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1 presumption that counsel “exercised acceptable professional judgment in all significant decisions
2 made.” Hughes v. Borg, 898 F.2d 695, 702 (9th Cir. 1990) (citing Strickland, 466 U.S. at 689).

3 The Strickland standards apply to appellate counsel as well as trial counsel. Smith
4 v. Murray, 477 U.S. 527, 535-36 (1986); Miller v. Keeney, 882 F.2d 1428, 1433 (9th Cir. 1989).
5 However, an indigent defendant “does not have a constitutional right to compel appointed
6 counsel to press nonfrivolous points requested by the client, if counsel, as a matter of
7 professional judgment, decides not to present those points.” Jones v. Barnes, 463 U.S. 745, 751
8 (1983). Counsel “must be allowed to decide what issues are to be pressed.” Id. Otherwise, the
9 ability of counsel to present the client’s case in accord with counsel’s professional evaluation
10 would be “seriously undermined.” Id. See also Smith v. Stewart, 140 F.3d 1263, 1274 n.4 (9th
11 Cir. 1998) (counsel not required to file “kitchen-sink briefs” because it “is not necessary, and is
12 not even particularly good appellate advocacy.”) There is, of course, no obligation to raise
13 meritless arguments on a client’s behalf. See Strickland, 466 U.S. at 687-88 (requiring a
14 showing of deficient performance as well as prejudice). Thus, counsel is not deficient for failing
15 to raise a weak issue. See Miller, 882 F.2d at 1434. In order to demonstrate prejudice in this
16 context, petitioner must show that, but for appellate counsel’s errors, he probably would have
17 prevailed on appeal. Id. at 1434 n.9.

18 2. Trial Counsel

19 Petitioner claims that his trial counsel rendered ineffective assistance by failing to
20 investigate whether petitioner was competent to stand trial. (Pet. at 11-12.) Petitioner explains
21 that he was on medication at the time of trial because of a recent surgery. (Id. at 11.) He states
22 that his trial counsel knew he was going to have surgery, and argues that his attorney should have
23 “investigated the mental and physical affects” of the post-surgery medications he was required to
24 take. (Id.) Petitioner claims that his counsel was too busy to meet with him to discuss the effects
25 the medication was having on him. (Id.) Petitioner also complains that his trial counsel
26 improperly failed to object to a sidebar agreement “not to introduce pancreas medications for fear

1 it would open up the door to medical marijuana even though [petitioner] assured he would not
2 use that as a defense.” (Id. at 12.) Petitioner argues that he “should have been examined by a
3 psychiatrist.” (Id.) He complains that his trial counsel decided not to call several witnesses who
4 had originally been on the defense witness list, including at least one physician who could have
5 testified about petitioner’s medical problems before and during the crimes for which he was
6 convicted. (Traverse at 6-8; Reporter’s Transcript on Appeal (RT) at 46-47.) He also faults his
7 trial counsel for failing to introduce documentary evidence regarding his medical problems.
8 (Traverse at 6-7, 22-46.) He explains, “the medications I was taking over a period of 7 months
9 had me losing my memory from time to time and sometimes very spaced out unable to
10 comprehend and understand or keep up with what was taking place.” (Id. at 8.)

11 Attached as exhibits to petitioner’s traverse are several letters. (Id. at 18-22.)
12 Two of these letters are written by a pastor and friend of petitioner’s, who describes petitioner’s
13 behavior before and at the time of his arrest, states that petitioner had “many doctor and clinic
14 appointments,” and notes that petitioner had “pancreatitis and gallstones which cause him severe
15 pain.” (Id. at 18, 20.) A third letter, written by a physician and dated before petitioner’s trial, is
16 addressed to a person who petitioner describes as his “lawyer in Monterey County.” (Id. at 6,
17 22.) In this letter the physician explains that petitioner has “a diagnosis of acute and chronic
18 pancreatitis and colecycsitis” and that he was taking several medications, “which include
19 pancreatic enzymes, Zantac, and analgesic as needed.” (Id. at 22.) In connection with his claim
20 of ineffective assistance of appellate counsel, petitioner states that “had [he] been in the right
21 frame of mind, he would have told the Courts that the Marijuana was not his and it must have
22 belonged to the owner of the car.” (Pet. at 14.)

23 Petitioner raised his arguments regarding the alleged ineffective assistance of his
24 trial counsel in a petition for a writ of habeas corpus filed in the Sacramento County Superior
25 Court. (Resp’t’s Lodged Doc. No. 8.) The Superior Court rejected the claim, reasoning as
26 follows:

The only claim in this petition that arguably could not have been raised on appeal is the claim of ineffective assistance of counsel. Petitioner cites several particular failures of trial counsel as being prejudicial to his case. However, the petition contains no evidence to support Petitioner's contentions. The only documents supporting the petition are copies of the motion for new trial and accompanying affidavit of trial counsel. The affidavit sets forth only facts to support the granting of the new trial, i.e., that Petitioner was on medication during the trial, that the trial court prevented counsel from asking Petitioner to testify about his medical condition, and that the jurors may have misapplied the law. Nothing in the affidavit supports Petitioner's claim that trial counsel's conduct was deficient. Petitioner has therefore failed to show that he received ineffective assistance of counsel, which claim is hereby denied.

(Id. at consecutive p. 2.)⁶ By order dated January 22, 2004, the California Court of Appeal denied relief with respect to petitioner's ineffective assistance of counsel claim, citing In re Clark, 5 Cal.4th 750, 764-65 (1993). (Resp't's Lodged Doc. No. 11.) The California Supreme Court summarily denied the habeas petition containing this claim by order dated October 13, 2004. (Resp't's Lodged Doc. No. 12.)

The conviction of a legally incompetent defendant violates the Due Process Clause of the Fourteenth Amendment. Cooper v. Oklahoma, 517 U.S. 348, 354 (1996); Cacoperdo v. Demosthenes, 37 F.3d 504, 510 (9th Cir. 1994). In federal court, a defendant is incompetent to stand trial if he lacks sufficient present ability to consult with his lawyer with a reasonable degree of rational understanding or lacks a rational as well as factual understanding of the proceedings against him. Dusky v. United States, 362 U.S. 402 (1960). See also Godinez v. Moran, 509 U.S. 389, 396 (1993); McMurtrey v. Ryan, 539 F.3d 1112, 1118 (9th Cir. 2008); Douglas v. Woodford, 316 F.3d 1079, 1094 (9th Cir. 2003). In California, "[a] defendant is mentally incompetent ... [if] the defendant is unable to understand the nature of the criminal

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⁶ Petitioner raised his claim of ineffective assistance of counsel again in two subsequent petitions for writ of habeas corpus filed in the Sacramento County Superior Court. (Resp't's Lodged Docs. Nos. 9, 10.) In both instances, the Superior Court rejected the claim as successive or duplicative. (Id.)

1 proceedings or to assist counsel in the conduct of a defense in a rational manner." California
2 Penal Code § 1367.

3 In a habeas proceeding, "a petitioner is entitled to an evidentiary hearing on the
4 issue of competency to stand trial if he presents sufficient facts to create a real and substantial
5 doubt as to his competency, even if those facts were not presented to the trial court." Deere v.
6 Woodford, 339 F.3d 1084, 1086 (9th Cir. 2003) (quoting Boag v. Raines, 769 F.2d 1341, 1343
7 (9th Cir. 1985)). See also Douglas, 316 F.3d at 1094. A "good faith" or "substantial doubt"
8 exists in this regard "when there is substantial evidence of incompetence." Deere, 339 F.3d at
9 1086 (quoting Cuffle v. Goldsmith, 906 F.2d 385, 392 (9th Cir. 1990)). The burden of
10 establishing mental incompetence rests with the petitioner. Boag, 769 F.2d at 1343; McKinney
11 v. United States, 487 F.2d 948, 949 (9th Cir. 1973) ("[W]hen the issue of the defendant's
12 competency to stand trial is raised in a § 2255 motion, the burden is upon the defendant to prove
13 that he was not mentally competent to stand trial."); see also Cacoperdo, 37 F.3d at 510 (habeas
14 petitioner bears burden of showing due process violation).

15 Whether a defendant is capable of understanding the proceedings and assisting
16 counsel depends on "evidence of the defendant's irrational behavior, his demeanor in court, and
17 any prior medical opinions on competence to stand trial." Drope v. Missouri, 420 U.S. 162, 180
18 (1975). None of these factors is determinative, but any one of them may be sufficient to raise a
19 reasonable doubt regarding competence. Id. Finally, the Due Process Clause requires a state trial
20 court to inquire into a defendant's competency sua sponte if a reasonable judge would be
21 expected to have a bona fide doubt as to the defendant's competence. Pate v. Robinson, 383 U.S.
22 375, 385 (1966); Blazak v. Ricketts, 1 F.3d 891, 893 & n.1 (9th Cir. 1993).

23 The record reflects that petitioner filed a motion for new trial, in which he argued
24 that he was not always "alert, mentally" during trial because he was taking Vidocin for pain, and
25 that he was "mentally absent" during parts of the trial for the same reason. (CT at 139-40.)
26 Petitioner also argued in his motion that the trial court had made an erroneous sidebar ruling to

1 the effect that “no questions could be asked of Billy Vaughan regarding his medical conditions,
2 and the medications he was taking for them, aside from the cataract that he had at the time, and
3 the subsequent medical treatment – including cataract surgery – for that condition.” (Id. at 140.)
4 Because of this ruling, petitioner’s jury did not hear evidence about his activities or medical
5 condition during the time prior to his arrest, with the exception of his cataract surgery. (Id. at
6 140-41.) Petitioner requested that the court appoint two psychiatrists to examine him and his
7 medical records in order to provide the court their medical opinions regarding petitioner’s mental
8 status during the course of the trial “given the amounts and types of medications he was taking at
9 that time.” (Id. at 140.) In his declaration in support of the motion for new trial, petitioner’s trial
10 counsel explained that, although he was aware that petitioner was taking medications which were
11 making him drowsy and nauseous, it was not until after petitioner testified that counsel began to
12 believe petitioner might have been “mentally absent from all or part of the trial proceedings”
13 because of the medications. (Id. at 145-46.) Counsel also explained that at trial he attempted to
14 introduce evidence of petitioner’s medical condition and the medications he was taking for the
15 purpose of showing petitioner’s “mental state and ability to focus at the time of the arrest.” (Id.
16 at 147.) When the court ruled that this evidence was inadmissible, counsel “refrained from
17 asking [petitioner] about his pancreatitis, colitis, and Hepatitis conditions, and the medications he
18 was taking for those” and “the various and numerous medical appointments he had been
19 attending during the two months he had lived in Sacramento up to the time of his arrest.” (Id.)
20 Counsel also stated that he had refrained from “calling as a witness Ms. Diana Atkins,
21 [petitioner’s] girlfriend, who could have verified the number of times she had to take [petitioner]
22 to doctor’s appointments during the two month period that he had lived at her house.” (Id.)

23 The trial court denied petitioner’s motion for new trial. (RT at 574.) During the
24 trial court’s explanation of its ruling, the following colloquy between the court and petitioner’s
25 trial counsel took place:

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1 On the motion for a new trial, the grounds appear to be several.
2 There is an allegation that the defendant was mentally absent
3 during the trial, an allegation that he was somehow incoherent, not
4 able to understand what's going on. The Court rejects that, having
5 sat through and listened to Mr. Billy Vaughan's testimony. It's
6 apparent to me that he was coherent and that he did understand
7 what was going on. There was no hesitancy on his part in
8 answering questions. Nothing that would indicate to the Court that
9 somehow he was doped up on medications to the point where he
10 didn't understand what was going on.

11 * * *

12 The second point that was made or attempted to be made in the
13 defense motion for new trial is that the Court erred in keeping out
14 Mr. Billy Vaughan's mental condition. The Court is satisfied when
15 counsel approached and there was an objection going over into
16 Billy Vaughan's mental condition that there was no mention at side
17 bar about the defendant being mentally incapable of testifying.

18 Mr. Bauer's declaration has some language in it now saying that he
19 urged the Court that they should allow or the jury should be
20 allowed to hear about the defendant's mental condition for
21 purposes of evaluating his testimony.

22 Well, if the purpose was to say, don't believe him and that he was
23 mentally incapable of testifying, the Court certainly would have
24 expected defense counsel to tell the Court that. And the Court has
25 no recollection; in fact, very specific recollection that there was no
26 such representation made to the Court.

MR. BAUER (petitioner's counsel): Can I briefly address that,
your Honor?

THE COURT: Let me say one more thing.

The Court is also satisfied completely that if the defense had said,
well, Mr. Vaughan is not on the right medications, he's incapable
of testifying at this point, the remedy would have been a recess, not
going and informing the jury that somehow he's on 50 different
medications for 50 different illnesses and therefore, he's, quote,
unquote, spaced-out and not worthy to be believed on certain
things or that you somehow have to discount portions of his
testimony or not believe when Mr. Billy Vaughan comes up here
under oath, says the things that he did say about his interactions
with marijuana.

Mr. Bauer, you wanted to say something else?

MR. BAUER: Yes, your Honor. As far as the – I want to make it
clear that I did not intend at any point in my declaration to imply

1 that we were requesting evidence regarding Billy's medical
2 condition at the time he was going to take the stand because of his
3 condition at that time. If I had had the – the evidence that I got
regarding what I believed was his medical condition during the
trial came to me after he began to testify.

4 Up until that point, although I noticed that he seemed to be drowsy,
5 we discussed his medication during the course of trial up to that
6 point, I never had any indication that there was anything – that he
wasn't anything other than mentally with us to whatever degree
he's capable of.

7 So when we approached the bench about his medical condition, the
8 only concern I had was for his mental state at the time of his arrest.
Where, you know, at night a guy with vision in only one eye in a
9 car with another guy who's smoking marijuana, may have some
10 kind of a contact high going himself, plus the fact that he's on
medication, that's the only thing I was interested in presenting at
that time.

11 And you're correct. There was no mention to you at that point in
12 time when we approached the bench about anything, any concern
that I had regarding Billy's present mental condition because it was
13 my belief at that point that his present mental condition was okay.
It was only subsequent to that point after he testified, after his
14 brother started testifying that I started getting questions from him
regarding what had happened the day before. When is he going to
15 get to testify? What's going on here? That stuff.

16 And initially, like I said, frankly, I thought he was joking with me,
17 initially. When it continued over the course of the next couple
18 days, I began to realize that maybe there is really something wrong
19 here. By then it was too late. He had already testified. It wasn't
my intention, and it was never my intention in this case by Billy to
ever put on any evidence of a medical marijuana defense or any
evidence regarding his present medical condition as he was sitting
here in court testifying in court.

20 (Id. at 569-72.)

21 The above-quoted colloquy, and the declaration of petitioner's trial counsel in
22 support of the motion for new trial, reflect that petitioner's counsel did not have any suspicions
23 about petitioner's mental competence until after petitioner had testified at his trial. At that point,
24 trial counsel filed a motion for new trial asking for a psychiatric evaluation to determine whether
25 petitioner had been "mentally absent" from the trial due to the medications he was taking. There
26 is no evidence before this court that counsel's actions were unreasonable or that he should have

1 had a doubt as to petitioner's competency any earlier. The court also notes that, as evidenced by
2 his remarks, the trial judge did not observe anything about petitioner's demeanor or his testimony
3 which raised a doubt as to petitioner's competence. There is no substantial evidence that
4 petitioner lacked a rational and factual understanding of the proceedings or that he was incapable
5 of consulting with his attorney. Petitioner did not exhibit any irrational behavior, nor was the
6 court confronted with any evidence that raised a question as to petitioner's competence to stand
7 trial. Cf. Blazak, 1 F.3d at 897 (competency hearing should have been conducted where state
8 trial court had records before it explaining defendant's extensive history of mental illness and
9 previous adjudications of incompetency, and there was no finding of competency at the time of
10 defendant's trial); Chavez v. United States, 656 F.2d 512, 515 (9th Cir. 1981) (evidentiary
11 hearing required where petitioner had a history of antisocial behavior and treatment for mental
12 illness, petitioner had several emotional outbursts in court, there was a previous psychiatric
13 finding of insanity based upon psychoneurosis and the use of drugs, and an inference drawn from
14 the fact that petitioner pled guilty without even attempting to plea bargain).

15 In addition, there is no evidence that the medications petitioner was taking
16 rendered him incompetent. See United States v. Pellerito, 878 F.2d 1535, 1542 (1st Cir. 1989)
17 ("There must be some evidence that the medication affected his rationality"). Petitioner's trial
18 counsel specifically told the court at the hearing on the motion for new trial that he did not notice
19 any lack of comprehension until after petitioner had testified and, even then, he wasn't sure
20 whether there was a problem or not. See Medina v. California, 505 U.S. 437, 450 (1992)
21 ("defense counsel will often have the best-informed view of the defendant's ability to participate
22 in his defense"); United States v. Lewis, 991 F.2d 524, 528 (9th Cir. 1993) (a defense counsel's
23 silence on the petitioner's competency is some evidence that the petitioner showed no signs of
24 incompetence at that time); Hernandez v. Ylst, 930 F.2d 714, 718 (9th Cir. 1991) ("[w]e deem
25 significant the fact that the trial judge, government counsel, and Hernandez's own attorney did
26 not perceive a reasonable cause to believe Hernandez was incompetent"); United States v. Clark,

1 617 F.2d 180, 186 (9th Cir. 1980) (fact that defendant's attorney considered defendant competent
2 to stand trial was significant evidence that defendant was competent). Under these
3 circumstances, trial counsel's failure to investigate whether petitioner was competent to stand
4 trial was not outside the wide range of professionally competent assistance.

5 Petitioner has also failed to establish prejudice with respect to this claim. There is
6 no evidence that further investigation by counsel would have uncovered evidence that petitioner
7 was not competent to stand trial. Accordingly, petitioner cannot demonstrate that his counsel's
8 failure, if any, to investigate his mental state was detrimental to the defense. See Jackson v.
9 Calderon, 211 F.3d 1148, 1159-60 (9th Cir. 2000); Villafuerte v. Stewart, 111 F.3d 616, 632 (9th
10 Cir. 1997) (petitioner's ineffective assistance claim rejected where he presented no evidence
11 concerning what counsel would have found had he investigated further, or what lengthier
12 preparation would have accomplished); Langford v. Day, 110 F.3d 1380, 1387-88 (9th Cir. 1996)
13 (where the record furnished no reason to believe that further psychiatric evaluation would have
14 created an issue regarding petitioner's competence, counsel's decision not to further explore the
15 question fell within the wide range of reasonable professional assistance); United States v.
16 Harden, 846 F.2d 1229, 1231-32 (9th Cir. 1988) (no ineffective assistance because of counsel's
17 failure to call a witness where, among other things, there was no evidence in the record that the
18 witness would testify); United States v. Berry, 814 F.2d 1406, 1409 (9th Cir. 1987) (appellant
19 failed to meet prejudice prong of ineffectiveness claim because he offered no indication of what
20 potential witnesses would have testified to or how their testimony might have changed the
21 outcome of the hearing). Cf. Lord v. Wood, 184 F.3d 1083, 1085 (9th Cir. 1999) (petitioner's
22 ineffective assistance claim granted where counsel failed to personally interview witnesses
23 whose testimony, if believed, would have cleared petitioner of murder). In short, there is no
24 reasonable probability that, "but for counsel's unprofessional errors, the result of the proceeding
25 would have been different." Strickland, 466 U.S. at 694.

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1 Although it is not clear from the allegations of the petition before the court,
2 petitioner may also be claiming that his trial counsel rendered ineffective assistance by failing to
3 investigate whether petitioner's mental state prior to trial rendered him incapable of committing
4 the crime or being aware that there were drugs and drug paraphernalia in the car he was driving.
5 If petitioner is making such a claim, it should also be rejected. There is no evidence before the
6 court that counsel rendered deficient performance in failing to make such an investigation or that
7 further investigation by counsel would have resulted in a different outcome at trial. The letters
8 submitted by petitioner in support of his claim of ineffective assistance of counsel, which are
9 relevant to petitioner's mental and physical condition at the time of the crime, do not change this
10 result. As discussed above, petitioner's trial counsel apparently attempted to introduce evidence
11 of petitioner's mental state and the medications he was taking at the time of his arrest but the trial
12 judge prevented its introduction. (CT at 147.) Petitioner fails to explain what more his trial
13 counsel should have done. The conclusion of the Sacramento County Superior Court that
14 petitioner was not deprived of the effective assistance of counsel is not contrary to or an
15 unreasonable application of Strickland. Accordingly, petitioner is not entitled to relief on this
16 claim.

17 3. Appellate Counsel

18 Petitioner claims that his appellate counsel rendered ineffective assistance by
19 failing to raise meritorious issues on appeal. Specifically, he faults his appellate counsel for
20 failing to argue that trial counsel rendered ineffective assistance in failing to investigate
21 petitioner's competence to stand trial; in failing to present evidence regarding petitioner's busy
22 schedule around the time of his arrest; and in failing to object to errors at petitioner's sentencing
23 hearing. (Pet. at 13-14.) Petitioner also states that "a letter was written to appellate counsel

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1 asking that certain issues be entered and none was entered” and that “appellate counsel picked
2 issues himself without approval.” (Id. at 5, 13.)⁷

3 As reflected in a letter attached as an exhibit to petitioner’s traverse, his appellate
4 counsel informed petitioner that he did not raise “the competency issue” in petitioner’s appeal
5 because he “thought it to be wholly without merit.” (Traverse at 47.) Appellate counsel’s
6 decision to press only issues on appeal that he believed, in his professional judgment, had more
7 merit than the ineffective assistance of counsel claims suggested by petitioner was “within the
8 range of competence demanded of attorneys in criminal cases.” McMann v. Richardson, 397
9 U.S. 759, 771 (1970). There is no evidence in the record that appellate counsel’s investigation
10 into possible meritorious issues to raise on appeal was incomplete, that a more thorough
11 investigation would have revealed other meritorious issues on appeal, or that appellate counsel’s
12 decision not to raise a claim of ineffective assistance of trial counsel fell below an objective
13 standard of reasonableness. There is also no evidence suggesting that claims regarding errors in
14 the sentencing proceedings or trial counsel’s failure to explain petitioner’s activities prior to his
15 arrest would have been meritorious. As noted above, appellate counsel has no obligation to raise
16 meritless issues on appeal. Strickland, 466 U.S. at 687-88. The state court determination with
17 regard to petitioner’s claim of ineffective assistance of appellate counsel is not contrary to, or an
18 unreasonable application of Strickland. Accordingly, petitioner is not entitled to habeas relief on
19 that claim.

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22 ⁷ As with the ineffective assistance of trial counsel claim discussed above, petitioner
23 raised this claim in his petition for a writ of habeas corpus filed in the Sacramento County
24 Superior Court. (Resp’t’s Lodged Doc. No. 8.) The Superior Court rejected petitioner’s claims
25 of ineffective assistance of counsel on the merits, without explaining its reasoning. (Id.) By
26 order dated January 22, 2004, the California Court of Appeal denied relief on the petition before
it, citing In re Clark, 5 Cal. 4th 750, 764-65 (1993). (Resp’t’s Lodged Doc. No. 11.) The
California Supreme Court summarily denied petitioner’s habeas petition in an order dated
October 13, 2004. (Resp’t’s Lodged Doc. No. 12.) Under these circumstances, the court will
independently review the record to determine whether habeas corpus relief is available under
section 2254(d). Himes, 336 F.3d at 853.

1 C. Due Process

2 In his final claim, petitioner alleges that his right to due process was violated at
3 his sentencing hearing. Although it is not clear, petitioner appears to be claiming that his trial
4 counsel rendered ineffective assistance at that hearing. (Pet. at 6, 15.) In support of his due
5 process claim, petitioner refers to several portions of the trial transcript. In one exchange cited
6 by petitioner, counsel informed the trial court that he had decided not to call several witnesses
7 who had been on the witness list, including two physicians. (RT at 46.) Later, at the hearing on
8 the motion for new trial, counsel explained that he began to be concerned about petitioner's
9 mental condition after he had testified in his own defense at trial. (Id. at 571.)

10 If petitioner is claiming that his trial counsel rendered ineffective assistance at the
11 sentencing hearing, his claim should be rejected. The Ninth Circuit Court of Appeals has
12 concluded that "there is no clearly established federal law" regarding the standard for ineffective
13 assistance of counsel at sentencing in noncapital cases. Cooper-Smith v. Palmateer, 397 F.3d
14 1236, 1244 (9th Cir. 2005). When the Supreme Court established the test for ineffective
15 assistance of counsel claims in Strickland, the Court expressly declined to "consider the role of
16 counsel in an ordinary sentencing, which ... may require a different approach to the definition of
17 constitutionally effective assistance." Id. In the years since Strickland was decided, the Supreme
18 Court has not announced what standard should apply to ineffective assistance of counsel claims
19 in the context of sentencing proceedings in noncapital cases. Id. Consequently, because there is
20 no clearly established federal law "squarely addresses" this issue, the state court did not
21 unreasonably apply federal law in concluding that petitioner was not entitled to relief with
22 respect to this claim. See Moses v. Payne, 543 F.3d 1090, 1098 (9th Cir. 2008). Moreover, after
23 reviewing the record of the sentencing proceedings in petitioner's case, this court does not find

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1 any evidence of ineffective assistance of counsel or any other federal constitutional error.

2 Therefore, petitioner is not entitled to relief with respect to his due process claim.⁸

3 CONCLUSION

4 Accordingly, for the foregoing reasons, IT IS HEREBY RECOMMENDED that
5 petitioner's application for a writ of habeas corpus be denied.

6 These findings and recommendations are submitted to the United States District
7 Judge assigned to the case, pursuant to the provisions of 28 U.S.C. § 636(b)(1). Within twenty
8 days after being served with these findings and recommendations, any party may file written
9 objections with the court and serve a copy on all parties. Such a document should be captioned
10 "Objections to Magistrate Judge's Findings and Recommendations." Any reply to the objections
11 shall be served and filed within ten days after service of the objections. The parties are advised
12 that failure to file objections within the specified time may waive the right to appeal the District
13 Court's order. Martinez v. Ylst, 951 F.2d 1153 (9th Cir. 1991).

14 DATED: December 12, 2008.

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17 _____
DALE A. DROZD
UNITED STATES MAGISTRATE JUDGE

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24 ⁸ Petitioner's due process claim may be related to his claim that his trial counsel rendered
25 ineffective assistance by failing to thoroughly investigate whether petitioner was competent to
26 stand trial and/or whether petitioner was capable of observing the marijuana in the car in which
he was arrested. For the reasons explained above, petitioner's claim of ineffective assistance of
trial counsel on these grounds lacks merit and relief should be denied.